

1A7 Rec'd PCT/PTO 19 JUL 2006

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371</b>		ATTORNEY'S DOCKET NUMBER <b>0074/075001</b>
INTERNATIONAL APPLICATION NO. <b>PCT/JP2005/000832</b>		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>10/586658</b>
INTERNATIONAL FILING DATE <b>January 24, 2005</b>		PRIORITY DATE CLAIMED <b>January 26, 2004</b>
TITLE OF INVENTION <b>SEMICONDUCTOR MEMORY DEVICE AND ITS CONTROL METHOD</b>		
APPLICANT(S) FOR DO/EO/US <b>Takaji MAEDA, Shinji INUE, Shoichi TSUTTA, Yoshiho GOICH, Jun CHARA Kimirori MATSUNO and Kazuaki TAMURA</b>		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a submission under 35 U.S.C. 371. 3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).		

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U.S. APPLICATION NO. (If known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
10/586658		PCT/JP2005/000832		0074/075001	
20. Other items or information: (Continued on attached page)					
The following fees have been submitted				CALCULATIONS	PTO USE ONLY
21.	<input checked="" type="checkbox"/>	Basic national fee (37 CFR 1.492(a))	..... \$300	\$ 300.00	
22.	<input checked="" type="checkbox"/>	Examination fee (37 CFR 1.492(c))		\$ 200.00	
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .. \$0					
All other situations .. \$200					
23.	<input checked="" type="checkbox"/>	Search fee (37 CFR 1.492(b))		\$ 400.00	
If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .. \$0					
Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority .. \$100					
International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB .. \$400					
All other situations .. \$500					
TOTAL OF 21, 22 and 23 =				900.00	
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
115 - 100 =	15 /50 =	1	x \$250	\$ 250.00	
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$ 130.00	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	15 - 20 =	0	x \$ 50	\$ 0.00	
Independent claims	2 - 3 =	0	x \$200	\$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360	\$	
TOTAL OF ABOVE CALCULATIONS =				\$1,280.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.					
SUBTOTAL =				\$1,280.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				\$	
TOTAL NATIONAL FEE =				\$1,280.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$1,280.00	
				Amount to be refunded:	\$
				Amount to be charged	\$

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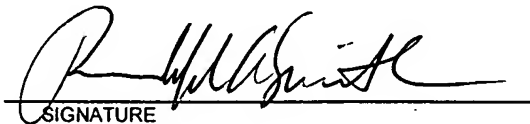
- a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-2586. A duplicate copy of this sheet is enclosed.
- d. ☒ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**NOTE:** Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

Randolph A. Smith

NAME

32,548

REGISTRATION NUMBER

Applicants: Takuji MAEDA et al.  
Application No.: filed concurrently  
Title: SEMICONDUCTOR MEMORY DEVICE AND ITS CONTROL METHOD  
International Application No.: PCT/JP2005/000832  
International Filing Date : January 24, 2005  
Priority Date : January 26, 2004  
U.S. Filing Date : July 19, 2006  
Attorney's Docket No. : 0074/075001

ATTACHMENT PAGE FOR TRANSMITTAL LETTER

Applicants submit the following items of information:

Point No. 20: Continued below

- |   |         |
|---|---------|
| 1) Information Disclosure Statement   | 2 Pages |
| 2) PTO-1449 Form (in duplicate)   | 2 Pages |
| 3) 12 References, 1 English Translation and 5 English Abstracts   |         |
| 4) Form PCT/ISA/202, Notification of Receipt of Search Copy   | 1 Page  |
| 5) Form PCT/ISA/210, English Translation of International Search Report   | 2 Pages |
| 6) Form PCT/ISA/210, International Search Report  | 3 Pages |
| 7) Form PCT/ISA/220, Notification of Transmittal of the International Search Report or the Declaration                          | 1 Page  |
| 8) Form PCT/ISA/237, Written Opinion of the International Searching Authority   | 4 Pages |
| 9) Form PCT/IB/301, Notification of Receipt of Record Copy  | 2 Pages |
| 10) Form PCT/IB/304, Notification Concerning Submission or Transmittal of Priority Document                                     | 1 Page  |
| 11) Form PCT/IB/308, Notice Informing the Applicant of the Communication of the International Application to Designated Offices | 1 Page  |
| 12) Form PCT/IB/311, Notice Concerning Transmittal of Copy of International Application as Published or Republished             | 1 Page  |
| 13) Form PCT/IB/345, Communication in Cases for Which No Other Form is Applicable   | 1 Page  |
| 14) Copy of the Cover Pages of the International Publication WO 2005/071549   | 2 Pages |